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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box. 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.                        | F          | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
|--|------------|------------|----------------------|---------------------|-----------------|
| 09/431,821                             | 11/02/1999 |            | DOUGLAS TRECO        | 50010/006006        | 9257            |
| 35093                                  | 7590       | 01/16/2004 |                      | EXAMINER            |                 |
| CLARK & ELBING LLP                     |            |            |                      | KETTER, JAMES S     |                 |
| 101 FEDERAL STREET<br>BOSTON, MA 02110 |            |            |                      | ART UNIT            | PAPER NUMBER    |
| BOS1011, MA 02110                      |            |            |                      | 1636                |                 |

DATE MAILED: 01/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.



## UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450

| APPLICATION NO./ CONTROL NO. |  |  |          | ATTORNEY DOCKET NO. |  |
|------------------------------|--|--|----------|---------------------|--|
|                              |  |  |          | EXAMINER            |  |
|                              |  |  | LAMINEN  |                     |  |
|                              |  |  | ART UNIT | PAPER               |  |
|                              |  |  |          | 011104              |  |

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

--See attached--

|   | Application No.  | Applicant(s)               |  |  |  |  |  |  |
|---|--|----------------------------|--|--|--|--|--|--|
|   | 09/431,821   | TRECO ET AL.               |  |  |  |  |  |  |
| Communication Re: Appeal  | Examiner   | Art Unit                   |  |  |  |  |  |  |
|   | James S. Ketter  | 1636                       |  |  |  |  |  |  |
|   |  |                            |  |  |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address   |  |                            |  |  |  |  |  |  |
| 1. The Notice of Appeal filed on is not acceptable because:   |  |                            |  |  |  |  |  |  |
| (a) it was not timely filed.  |  |                            |  |  |  |  |  |  |
| (b) the statutory fee for filing the appeal was not submitted. See 37 CFR 1.17(b).  |  |                            |  |  |  |  |  |  |
| (c) the appeal fee received on was not timely filed.  |  |                            |  |  |  |  |  |  |
| (d) the submitted fee of \$ is insufficient. The appeal fee required by 37 CFR 1.17(b) is \$  |  |                            |  |  |  |  |  |  |
| (e) the appeal is not in compliance with 37 CFR 1.191 in that there is no record of a second or a final rejection in this application.  |  |                            |  |  |  |  |  |  |
| (f) a Notice of Allowability, PTO-37, was mailed by the Office on   |  |                            |  |  |  |  |  |  |
| 2. The appeal brief filed on is NOT acceptable for the reason(s) indicated below:   |  |                            |  |  |  |  |  |  |
| (a)  the brief and/or brief fee is untimely. See 37 CFR 1.192.  |  |                            |  |  |  |  |  |  |
| (b) the statutory fee for filing the brief has not be   | (b) the statutory fee for filing the brief has not been submitted. See 37 CFR 1.17(c). |                            |  |  |  |  |  |  |
| (c) the submitted brief fee of \$ is insufficient   | ent. The brief fee required by 37  | ' CFR 1.17(c) is \$        |  |  |  |  |  |  |
| The appeal in this application will be dismissed unless corrective action is taken to timely submit the brief and requisite fee. Extensions of time may be obtained under 37 CFR 1.136(a).                |  |                            |  |  |  |  |  |  |
| 3.   The appeal in this application is DISMISSED beca   | use:   |                            |  |  |  |  |  |  |
| (a)  the statutory fee for filing the brief as required under 37 CFR 1.17(c) was not timely submitted and the period for obtaining an extension of time to file the brief under 37 CFR 1.136 has expired. |  |                            |  |  |  |  |  |  |
| (b)  ☐ the brief was not timely filed and the period for obtaining an extension of time to file the brief under 37 CFR 1.136 has expired.   |  |                            |  |  |  |  |  |  |
| (c) Request for Continued Examination (RCE) under 37 CFR 1.114 was filed on   |  |                            |  |  |  |  |  |  |
| (d)   |  |                            |  |  |  |  |  |  |
| 4.   Because of the dismissal of the appeal, this applic  | ation:   |                            |  |  |  |  |  |  |
| (a) 🛛 is abandoned because there are no allowed   | claims.  |                            |  |  |  |  |  |  |
| <ul> <li>(b) is before the examiner for final disposition because it contains allowed claims. Prosecution<br/>on the merits remains CLOSED.</li> </ul>  |  |                            |  |  |  |  |  |  |
| (c) is before the examiner for consideration of the to 37 CFR 1.114.  | e submission and prosecution h   | nas been reopened pursuant |  |  |  |  |  |  |

JAMES KETTER PRIMARY EXAMINER

On 15 December 2003, Susan M. Michaud confirmed that no brief had been filed.